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PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

(Case No. 98,736-A)

TECH CENTER 1600/2900

FEB 04 2002

RECEIVED

In re Application of: )

Roderick Hall, et al. )

Serial No.: 09/441,966 )

Examiner: P. Tung

Filed: November 17, 1999 )

Art Unit: 1652

For: A METHOD FOR ACCELERATING )  
THE RATE OF MUCOCILIARY )  
CLEARANCE )

Assistant Commissioner for Patents  
Washington, D.C. 20231

**THIRD SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Sir:

In order to comply with discretionary regulations 37 CFR 1.97 and 1.98, attached hereto is Form PTO-1449, copies of the documents listed thereon pursuant to 37 C.F.R. § 1.97(c) and § 1.17(p). These documents contain information which the Examiner may consider to be important in deciding whether to allow the present application to issue as a patent.

1. Shimomura, et al., U.S. Patent No. 5,854,396, issued December 29, 1998.

In accordance with MPEP Sections 609 and 707.05(b), it is requested that each document cited (including any cited in applicant's specification which is not repeated on the attached Form PTO-1449) be given thorough consideration and that it be cited of record in the prosecution history of the

present application by initialing on Form PTO-1449. Such initialing is requested even if the Examiner does not consider a cited document to be sufficiently pertinent to use in a rejection, or otherwise does not consider it to be prior art for any reason, or even if the Examiner does not believe that the guidelines for citation have been fully complied with. This is requested so that each document becomes listed on the face of the patent issuing on the present application.

The present Disclosure Statement is being submitted in compliance with 37 CFR 1.56 insofar as an Examiner might consider any of the cited documents important in deciding whether to allow the application to issue as a patent, but the citation of each document is not to be construed as an admission that such document is necessarily relevant or prior art. No representation is intended that the cited documents represent the results of a complete search, and it is anticipated that the Examiner, in the normal course of examination, will make an independent search and will determine the best prior art consistent with 37 CFR 1.104(a) and 1.106(b) and, in the course of each search, will review for relevance every document cited on the attached form even if not initialed.

Early and favorable consideration is earnestly solicited.

Respectfully submitted,

Date: \_\_\_\_\_

12/13/01

By: \_\_\_\_\_

Emily Miao  
Reg. No. 35,285